

Cabinet Committee on Performance Improvement

Meeting to be held on Wednesday, 6 December 2017

Report of the Head of Legal & Democratic Services

Electoral Division affected: All

Risk and Opportunity Register Quarter 3

(Appendix 'A' refers)

Contact for further information:

Ian Young, Director of Governance, Finance and Public Services, 01772 533531

ian.young@lancashire.gov.uk

Paul Bond, Head of Legal and Democratic Services, 01772 534676

Paul.bond@lancashire.gov.uk

Executive Summary

This report provides an updated (Quarter 3) Risk and Opportunity Register for the Committee to consider and comment upon.

Recommendation

The Cabinet Committee on Performance Improvement is asked to consider the updated Risk and Opportunity Register at Appendix 'A' and make any comments it considers appropriate.

Background and Advice

In accordance with the agreed corporate approach to reporting on risk and opportunity, the quarter 3 Risk and Opportunity register was recently reported to Management Team. The updated Risk and Opportunity Register is attached at Appendix A for the Committee to consider and comment on.

Following this Committee the updated Register will be presented to the Audit, Risk and Governance Committee in January 2018.

For this quarter, the key highlights in the Register include:

- There are no new entries to the register.
- One entry has been deleted:
 - CR28 Risk of not fulfilling our duty in relation to Court of Protection applications. This has been deleted as Cabinet have approved a Prioritisation Tool which has reduced the risk score

- allowing for mitigating actions, the residual risk score for the following entries remain at 12 or above and therefore remain on the Register:

Risk Identification Number (RIN)	Risk Description
CR1	Failure to implement the county council's MTFS. Updated but residual risk score remains unchanged.
CR2	Risk to the on-going financial viability of the county council. Updated but residual risk score remains unchanged.
CR4	Delivering Organisational Transformation. Updated but residual risk score remains unchanged.
CR5	Inability to adequately protect and safeguard children. Further mitigating actions added. Residual risk score remains unchanged.
CR6	Failure to comply with statutory requirements and duties relating to CLA, children in need and children leaving care. Further mitigating actions added. Score remains unchanged.
CR7	Failure to recruit and retain experienced staff within Children's services. Further mitigation actions added. Residual risk remains unchanged
CR8	Reputational damage and risk of direct intervention by DFE. Further mitigation actions added. Residual risk remains unchanged.
CR12	Inability to implement/maintain systems that produce effective management information. Further mitigating actions added but residual score remains the same.
CR16	Management of the County Council's assets. Further mitigating actions added but residual score remains the same.
CR20	Transforming care (Winterbourne). Residual score remains at 12.
CR21	Service user/customer risk associated with the inability to influence behaviour change in demand and expectations continue to rise. Controls and mitigating actions updated but residual score remains at 12.
CR24	Failure to achieve targets with National Troubled Families Unit. Remains unchanged.
CR25	Failure to implement and meet the statutory requirement to children and young people with special educational needs and/or disabilities remains unchanged.
CR26	Proposed museums closures. Further mitigating actions added but residual score remains the same.
CR27	The mobilisation of the home care framework and subsequent service transfer process. Mitigating actions are being put in place but the residual score remains the same.
CR29	Delayed Transfer of Care. Controls and mitigating actions have been agreed but the residual score remains above 12.
CR30	Prevent people being drawn into terrorist activity. Controls and mitigating actions have been agreed to fulfil our duty but the residual score remains above 12.
CO2	Delivering economic growth. Further maximising actions added.
CO4	Health & Social Care Integration. Narrative updated
CO5	Apprenticeship levy and apprentice % in public sector. Narrative no change.

Consultations

N/A

Implications:

This item has the following implications, as indicated:

Risk management

Good governance enables an authority to pursue its vision effectively as well as underpinning that vision with sound arrangements for control and management of risk. An Authority must ensure that it has a sound system of internal control which includes effective arrangements for the management of risk. Failure to develop and maintain a Corporate Risk & Opportunity Register means the Council would be negligent in its responsibilities for ensuring accountability and the proper conduct of public business.

List of Background Papers

Paper	Date	Contact/Tel
-------	------	-------------

None

Reason for inclusion in Part II, if appropriate

N/A